



**INVESTMENT MANAGEMENT
ASSOCIATION OF SINGAPORE**

3 Phillip Street
Royal Group Building #07-01
Singapore 048693
UEN: S97SS0092D
Tel: +65 6223 9353
Fax: +65 6223 9352
Email: enquiries@imas.org.sg
Website: www.imas.org.sg

REGULAR MEMBERSHIP APPLICATION FORM

Please read the following notes carefully before completing this Application Form.

1. **The following are eligible to be Regular Members of Investment Management Association of Singapore (IMAS):**

Any Person¹ whether incorporated in Singapore or not, satisfying any one of the categories below shall be eligible to be a Regular Member at the absolute discretion of the Committee:

- (a) Holding a Capital Markets Services Licence ("CMS Licence") in respect of fund management under the Securities and Futures Act;
- (b) Holding an exempt licensing status in respect of a CMS Licence for fund management under the Securities and Futures Act and whose primary business is in investment management; or
- (c) In the opinion of the Committee, carrying on the business of investment management, as may be approved by the Committee.

The Executive Committee reserves its rights and maintains its absolute discretion to accept or reject any application to be a Regular Member.

Please enclose copies of the relevant licences/documents evidencing your Company's eligibility to join as a Regular Member of IMAS to:

**IMAS Secretariat
Investment Management Association of Singapore
3 Phillip Street
Royal Group Building #07-01
Singapore 048693**

2. **Please note that a one-time joining fee of S\$3,000 is payable. The annual membership subscription fee payable (subject to the prevailing GST) is based on the following fee structure:**

Assets Under Management (AUM) (based on your firm's audited AUM as at 31 December of the last calendar year)	Membership Fees
Below S\$5 billion	S\$6,000.00
\$5Billion ≤ AUM < \$7.5Billion	S\$9,000.00
\$7.5Billion ≤ AUM < \$10Billion	S\$12,000.00
S\$10 billion and above	S\$18,000.00

¹"Person" means any partnership, corporation, trust, unincorporated organisation or association, but excluding any Individual.



**INVESTMENT MANAGEMENT
ASSOCIATION OF SINGAPORE**

3 Phillip Street
Royal Group Building #07-01
Singapore 048693
UEN: S97SS0092D
Tel: +65 6223 9353
Fax: +65 6223 9352
Email: enquiries@imas.org.sg
Website: www.imas.org.sg

Please note that joining fees and annual membership subscription fees are non-refundable, and will be reviewed by the IMAS Executive Committee every 3 years.

3. To be eligible as a Regular Member, your Company must fall within one of the categories set out below.

Please tick to indicate the category in which your Company belongs to and please enclose a copy of the **relevant licence** and **latest financial statements** for approval.

- ☐ A company which holds a Capital Market Services Licence in respect of fund management under the Securities and Futures Act (Section 321, Chapter 289)
- ☐ Accredited / Institutional Licensed Fund Management Company
- ☐ Retail Licensed Fund Management Company
- ☐ Any company resident in Singapore, which falls within paragraph 5(1) of the Second Schedule of the Securities and Futures (Licencing and Conduct for Business) Regulations (Rg. 10)
- ☐ Registered Fund Management Company
- ☐ Others
- ☐ In the opinion of the Committee, carrying on the business of investment management, as may be approved by the Committee.

Company Details

Company Name

Registered Address

Place of Incorporation

Date of Incorporation

Website

Business Registration Number



**INVESTMENT MANAGEMENT
ASSOCIATION OF SINGAPORE**

3 Phillip Street
Royal Group Building #07-01
Singapore 048693
UEN: S97SS0092D
Tel: +65 6223 9353
Fax: +65 6223 9352
Email: enquiries@imas.org.sg
Website: www.imas.org.sg

4. Contact Person

Name (Mr/Miss/Mrs/Dr)

Designation

Contact number

Email address

5. Company Management

List of Directors	Indicate whether Executive / Non-Executive

Major Business Activities

Please set out the number of employees employed in your Company in accordance with the categories set out below.

Position	Number of Employees
Management	
Investments	
Legal/Compliance	
Office Administration	
Operations	
Performance Measurement	
Risk Management	
Sales/Business Development	
Total	



**INVESTMENT MANAGEMENT
ASSOCIATION OF SINGAPORE**

3 Phillip Street
Royal Group Building #07-01
Singapore 048693
UEN: S97SS0092D
Tel: +65 6223 9353
Fax: +65 6223 9352
Email: enquiries@imas.org.sg
Website: www.imas.org.sg

6. Membership Directory

- a. Key contacts/all staff who wish to be on our mailing list.

Name	Designation	Email address	Contact No.

b. Administrator for IMAS Members Directory

Each member company should appoint an administrator who is responsible for and authorised to edit the company and staff information on the IMAS website (e.g. annually update details of company profile in IMAS online members' directory, update the following changes arising from staff movement, etc).

Please provide us the details of this administrator

Name	Designation	Email address	Contact No.

7. Related Licences and Associations

- a. If your Company, Parent Companies, or other related Group entities are licensed to conduct business in investment management by regulators in other countries, please list the country, regulator, and the entity holding the licence.

Country	Name of Regulator	Name of Entity holding the Licence

- b. If your Company, Parent Companies, or other related Group entities are members of similar investment funds associations, please list the country, association name, and the entity which is a member.

Country	Association Name	Name of Entity which is a Member



**INVESTMENT MANAGEMENT
ASSOCIATION OF SINGAPORE**

3 Phillip Street
Royal Group Building #07-01
Singapore 048693
UEN: S97SS0092D
Tel: +65 6223 9353
Fax: +65 6223 9352
Email: enquiries@imas.org.sg
Website: www.imas.org.sg

8. Paid-Up Capital and Assets Under Management

(For the organizational entity applying for IMAS membership, and does not refer to any Group holdings or Parent Companies)

Paid-up Capital (SGD)

Current Assets Under Management (SGD)

9. Local References

Please provide two (02) references you may have in the investment management industry in Singapore. Please note that we may contact these references when reviewing your application.

Name	Job Title	Company Name	Email Address

10. Authorised signature and company stamp

- ☐ We declare that all the particulars given in this application including the attached annexures (if any) are and remain true and accurate, and that we have not willfully suppressed nor failed to disclose herein any material fact.
- ☐ We hereby apply, and agree to join as, a Regular Member of IMAS. As a Regular Member of IMAS, we hereby agree to be bound by the Constitution, the Code of Ethics and Standards of Professional Conduct, as well as any other bye-laws and regulations which may be instituted by IMAS, and which may be in force from time to time until such time as our company shall cease to be a Regular Member of IMAS.

<div>Signature</div> <div>Company Stamp</div>

Name

Designation

Date